



State of Rhode Island and Providence Plantations
A. Ralph Mollis
Secretary of State

Rules and Regulations Filing Form

1. Agency Name and Address

Business Regulation, Department of, Insurance Regulation Division

1511 Pontiac Avenue Cranston RI 02920

2. Title of Rule

INSURANCE REGULATION 67 MARKET CONDUCT RECORD RETENTION AND PRODUCTION

3. Statutory Source of Authority

with R.I. Gen. Laws §§ 27-9.1-1 et seq, R.I. Gen. Laws §§ 27-13.1-1 et seq, R.I. Gen. Laws §§ 27-29-1 et seq and 42-14-17.

4. Concise Explanatory Statement - §42-35-2.3

This Regulation implements R.I. Gen. Laws §§ 27-13.1-1 et seq and R.I. Gen. Laws § 27-29-1 regarding the retention and maintenance of records required for market conduct purposes as contained in Section 4 of this Regulation.

5. Type of Filing

Emergency Rules

A1. Emergency 120-day initial - §42-35-3(b)

☐ Adoption

☐ Amendment of ERLID:

". ☐ Repeal of ERLID:

A2. Emergency 90-day renewal - §42-35-3(b)

☐ Adoption

☐ Amendment

Indicate ERLID of 120-day initial:

Brief Statement of Reason for Finding Imminent Peril §42-35-3(b)(2):

Final Rules

☐ B1. Amendment

☐ B2. Adoption

☐ B3. Repeal

☒ C. Technical Revision

Supersedes ERLID: 4798

Repeals ERLID:

Expires ERLID:

If B1 or C, please indicate new, amended, deleted, or revised sections:
address change

6. Notice and Hearing Information

Date of Public Notice - §42-35-3(a)(1): Notice Not Required

Date of Public Hearing - §42-35-3(a)(2): Hearing Not Required

End of Comment Period: 10/31/2013

7. Agency Additional Information - Web Page

www.dbr.ri.gov

8. Certification

I hereby certify that the attached rules and regulations were adopted in accordance with the Administrative Procedures Act (42-35) and that they are true copies of this Department, attest,

Name

Title

Notary Public

Subscribed and sworn before me

this _____ day of _____, _____